

TELECOPY

COGNIS CORPORATION
PATENT DEPT.
300 BROOKSIDE AVENUE
AMBLER, PA 19002

DATE: March 1, 2006

TO:

Name: Ms. Barbara Campbell
PCT OFFICE

FROM:

Name: Rose Stowe
For: Arthur G. Seifert, Esq.
Patent DepartmentFAX NO: (571) 273-9886

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NUMBER OF PAGES 11 INCLUDING THIS COVER PAGE.We are transmitting from facsimile machine (215) 628-1345.If you do not receive all the pages indicated above, please call Rose Stowe at (215) 628-1017 between 8:00 A.M. and 5:00 P.M.

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371 Formalities Letter dated 02/14/2006

RE: Our Docket No. C 2754 PCT/US (Serial No. 10/538,383)

Per our telephone conversation, this is to clarify that the two names of Dr. Kublik and Leopoldt that are listed on the PCT Request are only the names of the representatives who are acting on behalf of the Applicant, Cognis Deutschland GmbH & Co. KG. and that we wish to confirm that they should not be listed as inventors in this international application. Please find attached for your convenience a copy of the 371 Formalities Letter as well as a copy of the PCT Request.

Should you need anything further, please do not hesitate to contact me at (215) 628-1017.

Rose Stowe

03-01-2006 14:44

From-COGNIS CORP., PATENT DEPT.

+215-628-1345

T-156 P.002/011 F-600

Page 1 of 2

AGS/RS
✓ Dr. Kublik



UNITED STATES PATENT AND TRADEMARK OFFICE

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| | | |
|---|--|-----------------------------------|
| U.S. APPLICATION NUMBER NO. 10/538,383 | FIRST NAMED APPLICANT Peter Horlacher | ATTY. DOCKET NO. C 2754 PCT/US |
|---|--|-----------------------------------|

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| INTERNATIONAL APPLICATION NO. PCT/EP03/13922 |
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|-------------------------------|-----------------------------|
| LA. FILING DATE 12/09/2003 | PRIORITY DATE 12/18/2002 |
|-------------------------------|-----------------------------|

23657
COGNIS CORPORATION
PATENT DEPARTMENT
300 BROOKSIDE AVENUE
AMBLER, PA 19002

CONFIRMATION NO. 5031

371 FORMALITIES LETTER



0CD00000017986170

Date Mailed: 02/14/2006

Notice to file Missing Parts of 14-06

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/13/2005
- English Translation of the IA filed on 06/13/2005
- Copy of the International Search Report filed on 06/13/2005
- Preliminary Amendments filed on 06/13/2005
- Information Disclosure Statements filed on 06/13/2005
- Oath or Declaration filed on 06/13/2005
- U.S. Basic National Fees filed on 06/13/2005
- Assignment filed on 06/13/2005
- Priority Documents filed on 06/13/2005
- Power of Attorney filed on 06/13/2005
- Specification filed on 06/13/2005
- Claims filed on 06/13/2005
- Abstracts filed on 06/13/2005

RECEIVED COGNIS LAW DEPT.
ACTION _____ INFO _____

FEB 21 2006

DUE _____
FILE C 2754

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - List two names that are not on the International application, Dr. Kublik and Leopoldt. Please clarify.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath

ENTERED

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or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

BARBARA A CAMPBELL

Telephone: (703) 308-9140 EXT 217

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/538,383 | PCT/EP03/13922 | C 2754 PCT/US |

FORM PCT/DO/EO/905 (371 Formalities Notice)

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